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## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|-----------------------|------------------------|
| 09/835,106         | 04/13/2001          | Dan A. Steinberg      | ACT.008                |

CONFIRMATION NO. 4209

## FORMALITIES LETTER

JONES VOLENTINE, L.L.C.  
Suite 150  
12200 Sunrise Valley Drive  
Reston, VA 20191



\*OC00000006162184\*

Date Mailed: 06/08/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

## FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 355 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$206.
  - \$126 for 14 total claims over 20.
  - \$80 for 2 independent claims over 3 .
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 626.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

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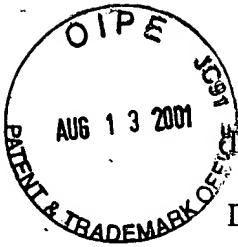
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*703 617 8161*

*Customer Service Center*

*Initial Patent Examination Division (703) 308-1202*

**PART 2 - COPY TO BE RETURNED WITH RESPONSE**



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re patent application of  
DAN A. STEINBERG, ET AL.

Serial No.: 09/835,106

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1 SEP -4 2001  
TC 2800 MAIL ROOM

Group Art Unit: Unknown

Examiner: Unknown

Filed April 13, 2001

For: OPTICAL WAVEGUIDE SWITCH

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Honorable Commissioner of Patents  
Washington, D.C. 20231

Date: August 8, 2001

**SUPPLEMENTAL RESPONSE TO NOTICE TO FILE MISSING  
PARTS OF NONPROVISIONAL APPLICATION**

Sir:

In response to the Notice to File Missing Parts of NonProvisional Patent Application mailed **June 8, 2001**, enclosed are the substitute formal drawings in compliance with 37 C.F.R. §1.84.

On June 13, 2001, Applicants submitted a Transmittal of Formal Drawings including seven sheets of formal drawings (Figs. 1 – 9).

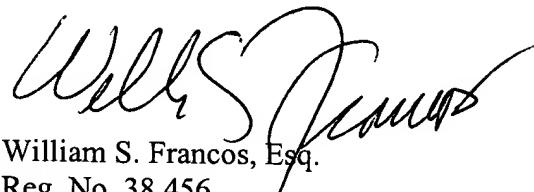
Applicants filed a Response to Notice to File Missing Parts on June 25, 2001, which included the required fee but did not include the substitute drawings in compliance with 37 C.F.R. §1.84. While it is Applicants' understanding, that the Office has included the formal drawings mailed on June 13, 2001 to satisfy the requirements of the Notice to File Missing Parts of NonProvisional Application, Applicants are nonetheless submitting herewith another copy of drawings to ensure compliance with Rule 84. A copy of the

June 8, 2001 Notice to File Missing Parts of Non-Provisional Application is also enclosed.

While it is believed that no fee is currently due, if necessary, the Commissioner is hereby authorized in this, concurrent, and further replies to charge payment or credit any overpayment to Deposit Account Number 50-0238 for any additional fees under 37 C.F.R. §1.16 or under 37 C.F.R. §1.17.

Respectfully submitted on behalf of:

Dan A. Steinberg, et al.



William S. Francos, Esq.  
Reg. No. 38,456

Date: August 8, 2001

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CERTIFICATE OF MAILING BY FIRST CLASS MAIL

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August 8, 2001

I certify that the enclosed correspondence is being deposited on August 8, 2001 with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to Assistant Commissioner for Patents, Washington, D.C. 20231.

RACHAEL L. KAHLER  
PATENT SECRETARY

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J.V. REF. NO.: ACT.008

CLIENTS REF. NO.: ACT-126

APPLICATION SERIAL NO.: 09/835,106

FILING DATE: 4/13/2001